GOVERNMENT OF INDIA
MINISTRY OF RAILWAYS
RAILWAY BOARD

No. F(X)II-2010/PW/2 **New Delhi, dated 11/10/2010.**

The General Managers,
All Indian Railways,
Including CLW, DLW, ICF, RCF & Rail Wheel Factory.
Metro Railway, Kolkata.

The General Manager (Const.),
N.F. Railway, Guwahati.

CAOs (Constn), All Indian Railways (except NF Railway)

The Director General and Ex-Officio General manager, RDSO, Lucknow.
The General Manager,
Central Organisation for Rly. Electrification, Allahabad.

CAO, MTP/Mumbai.

CAO/DLMW, Patiala and COFMOW, New Delhi.

Sub: Delegation of Powers to General Managers – Revision of Annexure-II to Chapter V, Indian Railways Financial Code, Vol. I.

The powers of General Managers are laid down in Annexure-II to Chapter-V of the Indian Railways Financial Code, Volume-I. A revised version of these powers was last issued vide Board's letter No. F(X)II-2007/PW/4 dated 21/09/2007 read with letter No. F(X)II-2007/PW/4 dated 30/10/2007. These powers have been further liberalized/enhanced by Board from time to time. In supersession of all the circulars issued on the subject, a comprehensive list of powers in the form of Advance Correction Slip No. 69 is enclosed.

Please acknowledge receipt.

11/10/10

(Anju Ranjan)
Director Finance (Exp.)
Railway Board.

No. F(X)II-2010/PW/2 **New Delhi, dated 11/10/2010.**

Copy for information to:

The Director General, Railway Staff College, Vadodara.
The Director, Indian Railway Institute of Civil Engineering, Pune.
The Director, Indian Railway Institute of Mechanical and Electrical Engineering, Jamalpur.
The Director, Indian Railway Institute of Signal Engineering and Telecommunications, Secunderabad.
The Director, Indian Railway Institute of Electrical Engineering, Nasik.
The Executive Director, Indian Railways Centre for Advanced Maintenance Technology, Gwalior.
The Director, Indian Railway Institute of Transport Management, Lucknow.
The Registrar, Railway Claims Tribunal, Delhi.
The General Secretary, IRCA, New Delhi.
The Chief Commissioner of Railway Safety, Lucknow.
The Secretary, Railway Rates Tribunal, Chennai.
The Chairman, Railway Recruitment Board, Ahmedabad, Ajmer, Allahabad, Bangalore, Bhopal, Bhubaneshwar, Chandigarh, Chennai, Gorakhpur, Guwahati, Jammu & Srinagar, Kolkata, Malda, Mumbai, Muzaffarpur, Patna, Ranchi, Secunderabad and Trivandrum.

(Anju Ranjan)
Director Finance (Exp.)
Railway Board.

No. F(X)II-2010/PW/2 **New Delhi, dated 11/10/2010.**

Copy to: **Dy. Comptroller & Auditor General of India (Railways), Room no. 224, Rail Bhawan, New Delhi with 45 spares.**
FA & CAOs, All Indian Railways including Production units.
FA & CAO(C)s, All Indian Railways.

(Anju Ranjan)
Director Finance (Exp.)
Railway Board.

ADVANCE CORRECTION SLIP NO. 69.
FINANCIAL CODE VOLUME I – 1982 Edition (Reprint – 1998)
ANNEXURE-II –(See Paragraph-505)

POWERS OF GENERAL MANAGERS OF INDIAN RAILWAYS

In supersession of existing orders on the subject, the President is pleased to make the following rules specifying the areas in which the General Managers may not sanction expenditure or deal with other matters without previous sanction of the higher authority. These rules will be applicable to General Managers of Zonal railways and Production Units as well as Director General, Research Design and Standards Organisation and General Managers (Construction) in so far as they relate to them.

Subject to the observance of these rules, the General Managers shall have powers to sanction expenditure and to delegate their powers to authorities subordinate to them alongwith powers to re-delegate them to lower authorities.

Any delegation or re-delegation of powers by the General Managers to authorities subordinate to them should be made only in consultation with the Financial Adviser and Chief Accounts Officers of the Railways and will be subject to the existing codal provision as well as other regulations/instructions issued by Railway Board from time to time. In the event of a difference of opinion between the General Manager and the Financial Adviser and Chief Accounts Officer, the matter should be referred to Railway Board for orders.

The exercise of the powers delegated shall be subject to existing Codal Provisions, Rules and Regulations as well as restrictions imposed from time to time by Railway Board in the context of economy in expenditure or otherwise.

RULES

The previous sanction of the higher authority is necessary.

A – Gazetted Staff

1. To the creation and the abolition of a permanent post and to the alteration of the scale of pay of a service or of a post.

2. To the keeping of a post sanctioned by the Railway Board unfilled for more than twelve months upto JA Grade provided that if the post has remained unfilled for more than six months, its justification is established afresh with the concurrence of Financial Adviser and Chief Accounts Officer before operating the post.

3. To the creation of a temporary post except that post may be created without higher sanction in Senior Scale/Class II Services for a period not exceeding six months/twelve months respectively in emergent situation with concurrence of Financial Adviser and Chief Accounts Officer and provided that the post will not be operated beyond six months/twelve months.

4 (a) To the creation of work-charged posts above JA Grade against Construction/Survey/Scrap Sale/M&P estimates.

NOTE: Temporary/Work-charged post/posts in JA Grade, Senior Scale, Junior Scale or Class II specifically provided for in a Construction/Survey/M&P/Scrap Sale Estimate or in an Urgency Certificate sanctioned by the General Manager or by the Railway Board may be created/extended by the General Manager with prior concurrence of Financial Adviser and Chief Accounts Officer."

(b) To the grant of provisional payment beyond 3 months to incumbents of such posts which are proposed to be extended beyond available sanction and which need approval of Railway Board.

5. (a) To grant to a Railway Officer emoluments (whether as pay, honorarium or allowances of any sort) higher than that admissible under the Railway Rules or any orders of the Government of India.

(b) To permit a Railway Officer to undertake work and/or receive fee (non-recurring or recurring) from private sources exceeding ₹ 5,000/- in each individual case.

(c) To permit Medical Officers including Chief Medical Officer to receive fees for professional attendance if they hold posts in which private practice is debarred under orders of a higher authority.

(d) To grant to an officer above JA Grade a reward in excess of ₹ 3,000/- in each individual case for Zonal Railways and Production Units and ₹ 5,000/- in each individual case, for RDSO.
(Authority Board's letter No .F(X)II-97/PW/4, dt. 5/5/98. (Corrigendum).)

(e) To the acceptance of honorarium by a Gazetted Railway servant in excess of ₹ 10,000/- in a year for undertaking work as arbitrator in a dispute in which the Railway Administration is a party and in excess of ₹ 1,000/- in a year in all other types of cases, except cases of acceptance of honorarium for conducting Disciplinary Enquiries against Railway Officers arising out of Vigilance/CBI cases where the limit shall be ₹ 6,750/-(Rs Six thousand seven hundred and fifty only) in a year.

(Authority Board's letters No. F(X)II-94/PW/3, dt. 28/11/96 and F(X)II-2008/PW/6 dt. 15/10/2009.)

NOTE:

(i) General Manager may grant to an Officer upto and including SA Grade in addition to this pay, special pay limited to 10% of the presumptive pay of the additional post when he holds charge of another post in addition to his own, provided the additional charge is held for a period exceeding 45 days but not exceeding six months in the case of officers upto and including JA Grade and 3 months in case of officers working in SA Grade.

(ii) The aggregate of pay and additional pay shall not exceed ₹ 80,000/- per month.

(iii) The dual charge arrangement shall not be carried beyond six months in the case of JA Grade and three months in the case of SA Grade officers under any circumstances.

(Authority: Board's letters no. F(X)II-94/PW/9 dt. 29/12/94, F(E) Spl. 98/FR/1/1 dt. 28/1/2000 and F(E) Spl. 2009/FR/1/3 dt. 21/06/2010)

6. To the write-off of –

(a) Advances.

(b) Amounts overdrawn by Officers, or otherwise due from them, which were discovered in audit within a year.

7. To the construction or purchase of a building for use as residence by an Officer or to hire a building for use as residence by an Officer under conditions which fall outside the framework of the extant instructions in regard to the ceiling of rental, minimum period for the lease and other conditions.

8. To the acceptance of resignation of an Administrative Grade Officer.

NOTE: The General Manager, while accepting resignations of the Officers upto Senior Scale level, will have the power to waive the prescribed notice period in respect of Probationary Officers selected for IAS/IFS/IPS.

B – Non-Gazetted Staff

9. To the grant of special pay or other additions to pay or to the grant of personal pay otherwise than in accordance with Rule 2003(23)(a)-RII and allowances other than those admissible under Railway Fundamental or Supplementary Rules or any other orders of the Government of India.

10. (a) To the grant of honorarium in excess of ₹ 10,000/- in each individual case.

NOTE: Where the honorarium is recurring, the limit of ₹ 10,000/- applies to the total amount paid in a financial year.

(Authority: Board's letter No. F(X)II/94/PW/3, dt.26/3/96.)

(b) To the grant of reward in excess of ₹ 5,000/- in each individual case.

(c) To the grant of reward in excess of ₹ 15,000/- in each individual case to Railway employees who have done exemplary work to avert accidents.

Note: The powers indicated in 10(c) above may be re-delegated to DRMs upto ₹ 2500/- in each case.

(Authority: Board's letter No. F(X)II-2008/PW/6 dt. 16/01/2009)

C – General : Gazetted and Non-Gazetted Staff

11. To the grant of advances otherwise than as authorised under general rules and orders

12. To the grant of leave otherwise than as admissible under the rules.

13. To the deputation of a Railway servant out of India.

NOTE: DG/RDSO, however, will be guided by Board's extant orders on the subject.

14. To the ex-gratia payment of bonuses or rewards for inventions for which the inventor is not allowed to take a patent or is instructed to assign his patent rights to the Government.

NOTE: DG/RDSO may however grant ex-gratia payment of bonuses or Rewards upto ₹ 5,000/- each for such inventions.

(Authority: Board's letter No.F(X)II/93/PW/2, dt.8/7/93.)

15. To the grant of rewards for detection and/or apprehension of offenders in a criminal case in excess of ₹ 5,000/- in each case.

16. To the reimbursement of legal expenses of a Railway servant –

(a) who institutes a suit in a Court of Law without the prior approval of the Railway Administration; or

(b) who incurs such expenses in defending legal proceedings instituted against him in respect of acts done or purported to have been done in execution of his duty either by an outsider or at the instance of the Railway Administration or with the specific sanction or under the specific Order of the State Government or the Central Government.

17. To the purchase of a motor-car, motor cycle, motor boat or any other vehicle.

NOTE: (1) The General Manager may hire vehicles subject to existing instructions of Board, as modified from time to time.

(Authority: Board's letters No. F(X)II-2006/PW/11 dated 15/05/2007 and 28/05/2008)

(2) Before hiring of a vehicle is sanctioned, it should be certified that the demand cannot be met by transfer from one of the works on hand or completed.

18. To the payment of compensation in case of Railway accidents other than those awarded by Railway Claims Tribunal to the dependants of Railway employee killed or to Railway employees injured in accidents caused by the working of trains or Railway engines provided that the death or injury was met with in the discharge of their duties otherwise than due to their own negligence or willful action, in excess of a maximum of 24 months' emoluments of the Railway employee.

NOTE:

(1) In the case of persons to whom the Workmen's Compensation Act applies, compensation shall be paid under this rule only if the authority competent to sanction compensation considers that more liberal treatment than that given by the Act is necessary. The compensation so paid shall not, inclusive of the compensation payable under the Act, exceed the amount admissible under this rule.
(2) The term 'emoluments' means monthly average of emoluments as defined in paragraph 2544-R/II (CSR 486) and in the case of running staff the emoluments will include the pay element in running allowance viz. 30% of the average basic pay drawn during the last twelve months or during the entire service if it is less than twelve months.
(3) The above ceiling of 24 months' emoluments would not be applicable wherever the compensation is paid strictly as per the statutory provisions of the Workmen's Compensation Act.
(authority: Board's letter no. F(X)II-2003/PW/10 Pt. II dated 18/11/2004).

19. To the grant of compensation to a Railway employee for loss of private property except compensation in excess of ₹ 5,000/- in any individual case for loss suffered by an employee in the course of a strike or Railway accident in the execution of Railway duty and from circumstances arising directly out of that duty.

20. To the sanction of ex-gratia payment in excess of ₹ 3,000/- to the dependant of a Railway employee in the event of his/her death arising out of injuries sustained in the execution of his/her duty.

21. To the payment of medical charges otherwise than as authorised under general rules or orders.

22. To permit a railway servant below the rank of Junior Administrative Grade to travel by Air on duty.

NOTE: Grant of permission by the General Manager to Junior Administrative Grade Officers to travel by Air on duty would be subject to the following conditions:

1. These powers may be exercised only by General Managers and not by CAOs, and, are not to be re-delegated further. However, the Chief Administrative Officer, COFMOW/New Delhi, DCW/Patiala and MTP/Chennai may also exercise these powers with the personal concurrence of FA&CAO.

(Authority: Board's letter no. F(X)II-2003/PW/6 dated 4/4/2003.)

2. These powers are to be exercised personally by the General Manager with the personal concurrence of FA&CAO.
3. Air travel will be permitted only in cases where the duration of the journey one-way is more than 12 hours by rail.
4. Each proposal regarding Air Travel would require to be justified on merits.

23. To the remission of recovery of rent from the incumbent of a post for which a quarter has been allotted.

NOTE: The General Manager may exempt the incumbent of a post from occupying the quarter allotted to the post and/or from paying rent thereof upto a maximum period of four months in the following circumstances:

(i) when an employee is temporarily transferred to a post in another station;
(ii) when the quarter is subjected to extensive repairs, such as renewals of roofing, replacement of flooring, or such other special works necessitating the vacation of the whole quarter;
(iii) when an employee is required to vacate the quarter under medical advice on account of an infectious disease or an epidemic.

24. To the waiver of the cost of training of a Railway employee who has received training at Railway's cost and who is required to serve the Railway for a minimum prescribed period, in excess of the cost proportionate to the service rendered.

25. To the sanction of expenditure on deputation of Railway employees for training courses, seminars, symposia in non-Railway Institutions in excess of ₹ 20 lakh in a year for Zonal Railways and ₹ 8 lakh in a year for Production Units.

NOTE: (i) The overall registration fee, however, should be subject to a maximum of ₹ 25,000/- and pro-rata registration fee of ₹ 4,000/- per day. For arriving at this monetary limit the cost of TA & DA should not be taken into account.

(ii) The ceiling limits of above powers will be exclusive of service tax or any other taxes/charges.

(Authority: Board's letter No. F(X)II-2006/PW/18 dt. 20/08/2008)

D – Payments to persons other than Railway employees

26. To the refund of fare and freight, otherwise than in accordance with relevant Tariff Rules, in excess of ₹ 20,000/- in each case.

27. To the write-off of amounts due to the Railways, otherwise than when they are irrecoverable, in excess of ₹ 10,000/- in each case.

NOTE: Items(26) and (27) do not apply to wharfage, demurrage, storage and lost property charges which may be written off or refunded by Railway Administration without the sanction of higher authority.

28. a) To the grant of rewards in excess of ₹ 5000/- in each case.

b) To the grant of reward in excess of ₹ 15,000/- in each case to the persons who have done exemplary work to avert accidents and saved passengers life.

Note: The powers in item no. 28 (b) above may be re-delegated to DRMs upto ₹ 2500/- in each case.

(Authority: Board's letter No. F(X)II-2008/PW/6 dt. 16/01/2009)

29. (a) To the incurrence of expenditure on each ceremonial occasion in excess of ₹ 25,000/-.

(b) To the incurrence of expenditure on important functions which are attended by President/Prime Minister/Minister of Railways in excess of ₹ 5 lakh in each case.

NOTE:

(i) The powers under item 29 will be exercised by the General Managers subject to an annual ceiling of ₹ 10 lakh for Production Units and ₹ 20 lakh for Zonal Railways.

(ii) The power under item 29(a) will cover the expenditure on Railway week celebration also, the limit for which will be ₹ 25,000/- for a Zonal Railway/Production Unit as a whole.

(Authority: Board's letter No.F(X)II-2004/Exp/6 dt. 9/5/2005)

30 (a) To the incurrence of expenditure in engaging/obtaining consultancy services from outside bodies in excess of ₹ 25 lakhs in each case or in excess of ₹ 1.5 crore in a year.

(b) To the incurrence of expenditure in engaging/obtaining consultancy services connected with property development schemes, i.e. for commercial exploitation only, from outside bodies in excess of ₹ 50 lakhs in each case or in excess of ₹ 2.5 cr. in a year for Zonal Railways, Production Units and RDSO.

NOTE :-

i) These powers will be personally exercised by the General Manager and will not be re-delegated further. It may be ensured that no contracts are awarded by the Railways for activities which can be carried out in-house.

ii) Details of consultancy contracts awarded by each Zonal Railways for commercial exploitation should be endorsed to all other General Managers for information to avoid duplication.

iii) The General Managers will evolve, in consultation with FA&CAO, a suitable mechanism to ensure that the limits laid down herein are not exceeded under any circumstances.

iv) For consultancy contracts, the minimum level of Tender Committee shall be SAG.

v) All cases decided by the Zonal Railways should be reported to Board through the FCDOs to CRB and FC, to enable assessment of the exercise of these powers.

vi) The agency/consultant is renowned/Government approved and has sufficient experience in the relevant field.

vii) Notwithstanding the provisions of Note i) above, PCE/CE(Coord) of the Zonal Railways can award consultancy contracts upto a limit of Rs. 5 lakh per case with the annual ceiling of ₹ 10 lakh. These powers will be exercised by PCE/CE(Coord) with the personal concurrence of FA&CAO/FA&CAO(C).

(Authority: Board's letter no. F(X)II-2006/PW/3 dt. 27/02/06)

viii) These powers can be delegated to DRMs also upto ₹ 50,000/- per case subject to annual ceiling of ₹ 1 lakh. These powers will be exercised by DRMs in consultation with associate finance. In the tender committee, JAG/SG level officers may be nominated. In cases where JAG/SG level officers are not available from Finance side in the Division, DFM may be nominated as Finance member of the tender committee.

(Authority: Board's letter no. F(X)II-2006/PW/3 dt. 16/05/2006 and F(X)II-2006/PW/13 dt. 9/8/2006.)

ix) **Powers of CAO/C to award consultancy contracts:**

CAO/Cs can award consultancy contracts upto a limit of ₹ 25 lakh per case with the annual ceiling limit of ₹ 1.5 crore with the personal concurrence of FA&CAO/FA&CAO(C). **These powers of CAOs will be in addition to and separate from the powers delegated to General Managers**. Also, the level of Tender Committee shall be SAG.

However, if the consultancy contract is to be fixed only on a single tender basis due to special circumstances, GM's personal approval would be required irrespective of the value of the contract.

(Authority: Board's letter No. F(X)II-2006/PW/3 dt. 27/02/2006 read with letter No. F(X)II-2006/PW/17 dt. 18/10/2006)

31. (a) To the filing or defending of an appeal in the Supreme Court
(b) To the engagement of a Railway Counsel on scale of fees higher than that prescribed by Ministry of Law and Justice;
(c) To the engagement of counsel at more than ₹ 1,050/- per day in Supreme Court or in any of the High Courts or at more than ₹ 750/- per day elsewhere;
(d) To payment of fees in excess of scales of fees fixed by the High Courts concerned.

32. To the grant of allowances or fees to private persons or donations to private bodies including Railway Institutes, Hospitals and Schools, an excess of the scales or maximum limits laid down by the Railway Board.

33. (a) To the payment of arbitration fees to private persons in excess of ₹ 25,000/- in each case.

NOTE: (i) The circumstances under which such appointment of private persons has been made, should, however, be brought to the notice of the Board.
(ii) This will not apply to payment of fees to arbitrators appointed by Court, for which full powers will be exercised by General Managers.

(Authority: Board's letter No. F(X)II/2000/PW/2, dt. 29/5/2000)

(b) To the payment of arbitration fees to Retired Railway officers in excess of ₹ 75,000/- per case.

(Authority: Board's letter No. F(X)II-2008/PW/6 dt. 15/10/2009)

(c) To the payment of contribution/subscription to a medical institution, if medical aid is rendered by such institution to Railway employees, in excess of ₹ 1,000/- per annum.

(d) To the payment of contribution or subscription to a professional institution in excess of ₹ 10,000/- per annum in each case.

(Authority: Board's letter No. F(X)II-2007/PW/5 dt. 23/05/2007)

NOTE: (1) The General Manager will have full powers for payment of fees to surveyors engaged for the assessment of the values of damaged consignments.

(2) In respect of any item for which no scale has been laid down in any of the extant orders, the Railway Administration shall have no powers.

34. To the payment of compensation in cases of Railway accidents, otherwise than as specified below:

(A)	Ordinary Passengers (including Government servants, other than those specified in Category B below and their dependent(s).	Upto ₹ 4 lakh in any single case.

(Authority: Board's letter no. F(X)II-2000/PW/2 dt. 23/01/2001)

(B)	Military men, being or travelling on military duty.	No powers. All cases to be submitted to the higher authority.
(C)	Compensation to public (other than Railway passengers) for injury to person or damage to property caused by accidents as a result of negligence or carelessness on the part of Railway.	Upto ₹ 10,000/-.
(D)	Claims decreed by a Court of Law.	Full powers to pay any sum so decreed.
(E)	Claims settled out of Court with advice of Law Officer of Government.	Upto ₹ 25,000/- in any single case.

35. To the payment of claims, otherwise than as specified below or claims for compensation other than those relating to goods lost or damaged and those arising out of Railway accidents –

(i)	Claims settled out of court.	Upto ₹ 10,000/- in each case.
(ii)	Claims decreed by a court of Law.	Full powers subject to the condition that particulars of cases involving expenditure of more than ₹ 25,000/-

		in each case should be reported to Railway Board for information.

NOTE: The powers under item 35(i) above should not be re-delegated to subordinate authorities.

36.	Claims settled by arbitration award against any dispute arising out of a contract.	Full powers, subject to the conditions that the Railway Board should be informed of the details- (i) Where payment to a contractor as a result of the arbitrator's award exceeded by more than ₹ 50,000/- of the amount considered due by the Railway Administration before the arbitration proceedings began; (ii) Where deficiencies in the General conditions of contract or of procedure laid down by the Railway Board came to light.

NOTE: The powers under item 36 above should not be re-delegated to authorities below the level of HODs.

E – Expenditure on works not wholly chargeable to Ordinary Working Expenses

37. To the inclusion in the Preliminary Works Programme of :-

(a) New Works under the Plan Heads New Lines, Gauge conversion, Railway Electrification, Metropolitan Transport Projects, Computerisation and setting up of New Production Units; and

(b) Other New Works in excess of ₹ 5 crore each.

Sanction of Works

38. To the incurrence of expenditure on New Lines, Gauge Conversion, Railway Electrification works, Metropolitan Railway Projects, Computerisation, setting up of new Production Units, or Rolling Stock or Surveys not provided in the sanctioned budget for the year or carried forward from the sanctioned budget of the previous year.

39. To the incurrence of expenditure of other works not provided in the sanctioned budget or carried forward from the sanctioned budget of any previous year, except –

(a) Works/M&P under Lumpsum Provision –

(i) Works under the Planhead 'Road Safety Works-Road Over/Under Bridges' – costing less than ₹ 2.5 crore each,

(ii) Works under the Planhead 'Passengers and Other Users' Amenities' – not more than ₹ 2 crore each.

(iii) In respect of existing Railway Schools, Hospitals, Dispensaries, Institutes, Officers Club, Rest Houses and Holiday Homes – not more than ₹ 20 lakh in each case,

(iv) Other works costing not more than ₹ 1 crore each.

(v) Machinery and Plant – costing not more than ₹ 10 lakhs each for Zonal Railways and not more than ₹ 30 lakh each for Production Units. However, in respect of electronic in-motion weigh bridges, General Managers of Zonal Railways are empowered to sanction upto ₹ 15 lakh in each case. The powers to sanction electronic in-motion weigh bridges cannot be re-delegated and shall be exercised personally by General Managers with the concurrence of FA&CAOs.

Provided that the total lumpsum provision made in the budget for such works is not exceeded.

Note:

i) Despite the provisions of Items No. 37 and 38 above, General Managers can sanction works upto ₹ 50 lakh per case in Planhead 17 under List of Approved Works for replacement of the overaged PRS/UTS/UTS-cum-PRS and FOIS equipments such as monitors, terminals, keyboards, printers, UPS etc. installed in the project (one on one basis, i.e. no new items). While replacing these assets, Railways will ensure that the equipments procured conforms to the latest specifications issued by Railway Board. However, these powers shall be exercised with the personal concurrence of FA&CAO and personal approval of General Manager.

ii) It is reiterated that powers for Software Development have not been delegated to Railways and proposals regarding them shall continue to be sent to Railway Board for further processing and approval.

(B) Out of Turn Works –

(i) Works under the Planhead 'Road Safety Works-Road Over/Under Bridges' – costing less than ₹ 2.5 crore each,

(ii) Works under the Planhead 'Passengers and Other Users' Amenities' – not more than ₹ 2 crore each.

(iii) In respect of existing Railway Schools, Hospitals, Dispensaries, Institutes, Officers Club, Rest Houses and Holiday Homes – not more than ₹ 20 lakh in each case subject to the provision that the

funds required for such works as provided in the sanctioned budget for works in these categories are not exceeded.

(iv) Other works costing not more than ₹ 1 crore each.

Subject to the budgetary ceiling of ₹ 10 crore (other than lumpsum) in a financial year, of which not more than ₹ 3 crore could be on other than safety related items with the proviso that all safety works should be completed within a maximum period of 8 months from the date of sanction.

Note: i) For passenger Amenities Works, Emphasis should be on creation of amenities of durable and lasting nature and funds should not be frittered away on provision of superficial items like furnishings and furniture, etc.

ii) DRMs may sanction works under the planhead 'Passengers and Other Users' Amenities' upto ₹ 1 crore in each case under item 39.

(Authority: Board's letters No. F(X)II-2008/PW/7 dt. 05/12/2008, 30/07/2009, 27/08/2009, 11.01.2010, 16.02.2010, 30/03/2010 and F(X)II-2009/PW/3 dt. 16/03/2009)

40. (1) **Sanction of Detailed Estimate/Revised Estimate** -

(a) To the sanction of detailed estimates/Revised Estimates for works originally sanctioned at the time of inclusion in the Budget at a cost more than ₹ 100 crore and also where the excess over the abstract cost is not within the General manager's competence as indicated vide item no. 40(1)(b) below.

(b) To an excess over the estimated cost as entered in the Sanctioned Budget:

(i) Increase in cost due to escalation – More than 100% of the original estimate (abstract cost).

(ii) Increase in cost due to reasons other than escalation – More than 20% of original cost or ₹ 20 crore, whichever is less.

NOTE:

(i) The change in scope of work shall be governed by powers for material modification given in item no. 49.

(ii) Personal approval may be obtained at the level of General Manager with the personal concurrence of FA&CAO/FA&CAO(C) in cases of estimates where original cost of the work is above ₹ 50 crore but below ₹ 100 crore in each case.

(iii) These are the overall variations on the original sanctioned cost as included in the Budget and cannot be exceeded even if more than one revised estimate is sanctioned.

(iv)This delegation will not affect rules in force regarding material modification.

(v) For variation exceeding the above limits, approval of Board will be required. In such cases, proposals may be sent to board with personal concurrence of FA&CAO/FA&CAO(C) and personal approval of General Manager along with a detailed variation statement and a suitable explanatory note.

(vi) Under the planhead computerization General Managers can sanction detailed/revised estimate/excess over estimates only in the following cases:

i) In respect of UTS projects sanctioned in the year 2007-08 as per instructions contained in Board's letters No. 2006/C&IS/WP 2007-08/AZR/UTS/10/Pt. dt. 27/11/2007, 16/04/2008 and 18/06/2008.
ii) In respect of works sanctioned by GMs under list of approved works under note i) to the item no. 39(a). GMs can sanction detailed/revised estimates or excess over estimates for these works so long as the revised cost is within ₹ 50 lakh in each case.
Iii) In respect of works for setting up of UTS, not covered in i) above and PRS: After the lumpsum cost and the location are decided by Board, General Managers can sanction detailed/revised estimates or excess over estimates for these works within the lumpsum cost decided by Board.

(Authority: Board's letters No. F(X)II-2009/PW/3 dt. 30/10/2009 and 24/06/2010)

(c) To the sanction of track renewal estimates where the excess is not within the General Manager's competence as prescribed in sub-item 40(1)(b).

40(2) To an excess over the estimated cost of Machinery & Plant included in M&P Programme as follows:

a) Increase in cost due to price escalation – More than 100% of original estimate or ₹ 1 crore, whichever is less.
b) Increase in cost due to reasons other than price escalation – More than 10% of original estimate or ₹ 25 lakh, whichever is less.

NOTE:

i) Powers indicated in item No. 40(2)(b) above covers material modification also.
ii) Personal approval may be obtained at the level of General Manager with the personal concurrence of FA&CAO in cases of material modifications costing above ₹ 10 lakh each.
iii) These are the overall variations with respect to original sanctioned cost as included in the Budget at the time of sanction and cannot be exceeded even if more than one revised estimate is sanctioned.

(Authority: Board's letter no. F(X)II-2006/PW/17 dt. 24/05/2007 and F(X)II-2007/PW/4 dt. 09/03/2010)

40(3). Surveys

To an excess over 20% on an original estimate sanctioned by higher authorities.

NOTE: (i) General Manager can sanction survey estimates provided the surveys are included in the sanctioned budget and approved yardsticks are followed while remaining within the amount indicated in the Budget.

(ii)This delegation does not apply to works which do not have necessary procedural approval.

(Authority: Board's letter no. F(X)II/2000/PW/2, dt.27/6/2000)

40(4) Lumpsum Works

(i) To incur expenditure on lumpsum works provided in the sanctioned budget for the year or carried forward from the sanctioned budget of any previous year in excess of the total lumpsum provision for such works in the sanctioned budget.

(ii) To sanction excess over estimates of works sanctioned under item no. 39 in cases where percentage variation is beyond the competence of the General Manager in terms of Rule 40(1)(b).

NOTE: (i) The General Manager can sanction excess over estimates even when the variation is beyond the percentage variation prescribed in item no. 40(1)(b) so long as the revised cost is within the limit of powers of General Managers to sanction new works under item No. 39.

(ii) The works thrownforward from previous years may be taken up only if the funds required for them can be found by re-appropriation within the sanctioned allotment.

(Authority: Board's letter No. F(X)II-2006/PW/12 dt. 10/8/2006)

40(5) Part Estimates: To sanction part estimates costing above 2 % of the total value of the work, as originally sanctioned in the Budget.

NOTE: i) Part estimates may be sanctioned towards incurring expenses for preliminary activities like FLS, Geo-technical studies, Design, Preparation of plans and drawings etc. which will help in preparation of detailed estimate.

ii) The detailed estimate should be allowed to be prepared and got sanctioned only after these preliminary activities are completed.

iii) These preliminary activities may be executed on Works Contract basis.

(Authority: Board's letter no. F(X)II-2006/PW/17 dt. 18/10/2006, F(X)II-2006/PW/17 dt. 4/12/2006, 2007/CE-1/CT/18 dt. 07/03/2008 and F(X)II-2010/PW/1 dt. 25/03/2010)

F. Miscellaneous

41. To the sale of -

(a) any portion of a Railway line,

(b) any item of authorised rolling stock or

(c) any other Railway asset costing over ₹ 3 lakh.

42. To the dismantling or otherwise permanently closing to public traffic of any existing open line section.

43. To the alteration of or departure from the terms of contracts with Branch or Worked Lines.

44. To the write off of irrecoverable losses of cash, stores, tools and plant –

(i) exceeding ₹ 1 lakh in value when a Railway employee is in any way responsible for the loss.

(ii) exceeding ₹ 2 lakh in value when a Railway employee is not in any way responsible for the loss.

NOTE: (1)Every important case of loss should be brought to the notice of the Railway Board, as soon as possible, after its discovery. When the loss involved does not exceed ₹ 50,000/ the case need not be reported unless it represents unusual features or reveals serious defects in procedure.

(2)Insufficient balances under Suspense Heads not exceeding ₹ 1 lakh can also be written off by the General Manager.

45. To the incurrence of expenditure in excess of ₹ 40,000/- per year in connection with the entertainment of distinguished visitors, i.e. distinguished official or non-official personages, representatives of foreign technical associates, foreign technical experts, representatives of Trade and Industry including overseas companies.

(Authority: Board's letter no.F(X)II/2000/PW/2, dt. 27/6/2000.)

46. Except in accordance with the rules contained in the Indian Railway Codes or any general or special orders issued by the Railway Board –

(i) to the construction of an assisted siding.

(ii) to the acquisition, lease or disposal of land.

47. i) To enter into contracts in respect of sanctioned works in excess of ₹ 100 crores for each contract (Works Contracts) and

ii) To enter into contracts in respect of purchase of stores in excess of ₹ 50 crores for each contract (Stores Contract).

(Authority: Board's letters No. F(X)II-2006/PW/14 dt.26/9/06, F(X)II-2007/PW/4 dt. 14/11/2007)

48.To the grant of advances to contractors –

(i) Mobilisation advance in excess of 10% of the contract value.

(ii) Advances against new machinery and plant – in excess of 10% of contract value or 75% of the value of the new machinery and plant brought to the site of work (whichever is less).

(iii) Advances for accelerating the progress of work in special circumstances in excess of 5% of contract value or ₹ 5 lakh (whichever is less).

NOTE: All advances mentioned above shall be subject to levy of interest charges at the rate of 12% or as prescribed by the Railway Board.

(Authority: Board's letter no. F(X)II-97/PW/4 dt. 5/5/98 and 2007/CE-1/CT/18 dt. 07/03/2008)

49. To any material modification estimated to cost over ₹ 1.5 crore each.

NOTE:

i) Total value of Material Modifications in a Work should not exceed ₹ 5 crore or 5% of the value of the work, whichever is less.

ii) The excess or the revised cost of the estimate does not go beyond the General Manager's power of sanction.

iii) A Material Modification estimated to cost more than ₹ 50 lakh but below ₹ 1.5 crore should be certified and sanctioned personally by FA&CAO and General Manager.

iv)Material modifications which are beyond the competence of General Manager should be sent to Board with the personal concurrence of FA&CAO and personal approval of General Manager.

(Authority: Board's letter no. F(X)II-2006/PW/17 dt. 18/10/2006)

50. To the grant of diet charges in any other case except –

(a) To a Railway employee undergoing treatment as indoor patient in Railway or non-Railway Government hospital for any diseases other than tuberculosis or leprosy or mental disease when the employee's basic pay is not more than ₹ 7,820/- per month.

(b) (i) To a Railway employee or members of his family when receiving treatment for tuberculosis or leprosy or mental disease in a Railway hospital or an approved Institution, when the employee's basic pay is not more than ₹ 11,540/- per month.

(ii) To the dependent relatives of a railway employee receiving treatment for tuberculosis or leprosy in a Railway hospital or an approved Institution, when the employee's basic pay is not more than ₹ 11,540/- per month.

NOTE: In the case of temporary staff the pay last drawn while on active duty may be treated as substantive pay for the purpose of this sub-rule.

(c) To persons, whether Railway employees or not, requiring such assistance from the Railway in emergent cases which involve living under difficulties as regards necessaries of life.

NOTE: (i) Indigent passengers injured or taken ill and removed to Railway hospitals and trespassers, who require immediate medical assistance may be given diet at the expense of the Railway Administrations, the expenditure being treated as part of ordinary working expenses of the Railway hospital.

(ii) The General Managers have powers to sanction free diet or reimbursement of the cost of such diet, according as the indoor treatment is given in a Railway hospital or in a non-Railway hospital, to Railway servants injured in the course of duty for such period as they remain indoor patients, not extending beyond one year after they are declared permanently unfit and discharged from service.

51. To the institution of legal proceedings against a newspaper for publishing false and damaging articles against the Railway Administration.

52. To the supply of electricity to outsiders except under the following conditions –

(i) the provisions of the Electricity Act are not infringed;

(ii) such supply does not cause any extra outlay either immediate or contingent;

(iii) the supply can be made without any inconvenience to the Railway and after its full needs have been met;

(iv) the rates charged allow for profit after taking into account all costs of production, direct and indirect and are above the rates supplied to Railway employees; and

(v) the Railway should have power to discontinue the supply without notice and without compensation.

53. To any alteration in the existing cash and pay arrangements.

54. To the introduction of new designs for goods and coaching stock sanctioned for construction during each financial year irrespective of whether the stock is to be built to existing, sanctioned or new designs.

55. To changes, alterations or modifications in the design, layout or equipment of the existing rolling stock and marine vessels involving :-

(a) infringements of the schedule of maximum and minimum running dimensions unless previously sanctioned;

(b) decrease in the revenue earning capacities of coaching and goods vehicles and marine vessels, such as alterations in the class or seating capacity of coaching vehicles and marine vessels and a permanent decrease in the relationship between gross load and tare in the case of goods vehicles;

(c) conversion of public service vehicles into Railway service vehicles and vice versa and material modifications (such as alterations in the wheel arrangements etc. in existing Railway service vehicles.);

(d) the introduction of new facilities, fittings and equipments for the traveling public where such have not previously had the approval of the Board, e.g. cooling arrangements in carriages, electric fans in second class compartments, variations in types of lavatory and other equipments which involve matter of policy.

56. To alteration in the authorised stock of all descriptions.

57. To any expenditure on an object which has not previously been recognized as a fit object for Railway expenditure.

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