भारत सरकार Government Of India
रेल मंत्रालय Ministry Of Railways
(रेलवे बोर्ड) (Railway Board)

No. 2017/CE-I/WP/2018-19/1pt. **Delhi, dated 19.07.2018**

As per list attached.

Sub: Procedure to be followed for Execution of Umbrella Works under different Plan Heads
Ref: This office letter of even No. dated 22.03.2018, 13.06.2018

1. On receipt of various references from Zonal Railways, the procedure to be followed for execution of Umbrella Works under different Plan Heads has been again reviewed and in supersession of this office letter of even No. dated 22.03.2018 and 13.06.2018; it has been decided to follow the procedure as laid down below.

2. Background: Pink Book of 2018-19 has been published. The Pink Book 2018-19 contains a few umbrella works which were finalized based upon the lists of works submitted by Zonal Railways and demands received from various sources. These umbrella works are of two types :

   (i) Umbrella works containing provision to execute works on **one** Railway/PU.
   (ii) Umbrella works containing provision to execute works on **more than one** Railway/PU.

3. The following procedure has been approved by Board (ME,FC,CRB) to deal with umbrella works:

A. Umbrella works under Plan Head 11 (New Line), 14 (Doubling), 15 (Gauge Conversion), 31 (Track Renewals) and Plan Head 35 (Electrification Works):

(i) For New Line, Gauge Conversion & Railway Electrification, the list of works to be carried out under umbrella work shall be finalised by the Ministry by following due extant procedure. The same shall be communicated to Zonal Railway for preparation of DPR so that work can be processed for formal sanction through EBR/CCEA etc. as the case may be in terms of Office Order 1 of 2017 issued vide No. 2015/O&M/5/4, dated 02.01.2017.

(ii) General Managers of Zonal Railways shall send DPR of works to concerned Additional Member/PED. The DPR shall also contain the requirement of additional manpower for operation & maintenance of assets so created. In case of Track Renewal works, detailed justification, as required now, shall have to be provided by the Zonal Railway and finally works shall be shortlisted by Board.

(iii) The DPRs received from the Zonal Railway will be scrutinized and approved in the same way as Railway Projects are scrutinized and approved.

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(iv) At the end of financial year, the works so shortlisted and identified shall appear in the Pink Book of next financial year as individual itemized works if formally sanctioned or with '#' if necessary sanction is yet to be obtained. Thus, umbrella works would get replaced by such itemized individual works.

B. Umbrella works under following Plan Heads ;

Plan Head		Plan Head	
16	Traffic Facilities	**36**	Other Electrical Works
17	Computerisation	**37**	Traction Distribution Works
18	Railway Research	**42**	Workshops including PUs
29	Road Safety-Level Crossings	**51**	Staff Quarters
30	Road Safety-ROB/RUB	**52**	Staff Amenities
32	Bridge Works	**53**	Passenger Amenities
33	S&T Works	**64**	Other Specified Works &
65	Training/HRD		

(i) For works spreading over more than one Zonal Railway/PU, Additional Member/PED of nodal directorate in consultation with other concerned directorates, if any, shall distribute the total cost and outlay provided in Pink Book against umbrella work among respective Railways/PUs on need basis and not on pro-rata division among Zonal Railways/PUs. This distribution shall be communicated to Zonal Railways/PUs by Budget Directorate.

(ii) In subsequent year Pink Book, this distribution among Zonal Railways/PUs shall be shown as different works in concerned Zonal Railways/PUs giving reference to the original umbrella work.

(iii) Once the cost is communicated to Zonal Railways/PUs as per (i) above, the Zonal Railway can approve a number of works each costing up to Rs 50 crore and proceed with the execution of work. However, DPR is to be prepared for individual works costing more than Rs 50 crore and sent to Board for appraisal and approval, duly keeping the provision for the same in the communicated cost.

(iv) Initially, General Manager of Zonal Railway/PU shall approve different works, total costing upto 80% of cost of Umbrella work of their Railway/PU. Works for additional 20% cost of Umbrella work shall be approved by General Manager throughout the year as the need arises with the prior approval of Board. Zonal Railway shall however ensure that the total cost of various works which are being sanctioned under the Umbrella work does not exceed the total cost of the respective Umbrella work.

(v) Since Umbrella works shall be converted into individual projects/works, a list of all the works costing more than Rs 2.5 cr under the category of Umbrella works would be sent by Zonal Railway to nodal Executive Director of concerned Plan Head in Board's office with a request to obtain formal approval/post facto approval of Board (CRB). Nodal Executive Director of the concerned Plan Head shall obtain formal approval/post facto approval of Board (CRB) on such list of work(s) as per Office Order 1 of 2017 issued vide No.2015/O&M/5/4, dated 02.01.2017, and

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ensure communication of the same to respective Zonal Railway/PU within 30 days of receipt of such request.

(vi) Various works sanctioned under umbrella works, called sub works of particular umbrella, shall be shown separately in LAW Book.

(vii) Detailed estimate will be sanctioned for each individual work separately under an umbrella work.

(viii) The General Managers will send the list of such works sanctioned under umbrella work to Board quarterly for record and monitoring.

4. Necessary changes in IRPSM software are being carried out for the above purpose.

5. This is issued with the approval of Board (ME, FC, CRB).

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19.07.18

(प्रेम सागर गुप्ता)
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LIST FOR DISTRIBUTION

1. General Managers, All Indian Railways & Production Units.
1a. General Manager (Con), N.F. Railway, Guwahati.
1b. General Manager /CORE, Allahabad.
2. Principal Chief Engineers, All Indian Railways.
3. Chief Administrative Officers (Con), All Indian Railways.(Except N.F. Railway)
4. FA&CAOs/ All Indian Railways.
5. Chief Vigilance Officers, All Indian Railways.

Copy to:

(A)

1. CAO/CAO(R), COFMOW, Tilak Bridge, New Delhi.
2. CAO/CAO(R), Diesel Locomotive Works, Patiala(Punjab).
3. CAO, Rail Coach Factory/Rae Bareli Project, Kishanganj, Delhi -110007.

(B)

1. Director General, RDSO, Manak Nagar, Lucknow.
2. Director General, Railway Staff College, Vadodara
3. Director, IRICEN, Rail Path, Pune – 411 001 (Maharashtra).
4. Chief Commissioner of Railway Safety, Ashoka Road, Lucknow.

(C)

1. MD, DMRC, Metro Bhawan, 13, Fire Bridge Lane, Barakhamba Road, New Delhi- 110 001,
2. MD, CONCOR, Concor Bhawan, C-3, Mathura Road, Opp. Apollo Hospital, New Delhi-110076.
3. IRCON International Limited, C-4, District Centre, Saket, New Delhi- 110017
4. MD, RITES Ltd., RITES Bhawan, Plot No.1, Sector-29, Gurgaon.
5. MD, RVNL, August Kranti Bhawan,Plot No.25, 1st Floor, Bhikaji Cama Place, New Delhi.
6. MD, MRVC Ltd., Churchgate Station Bldg, Mumbai- 400020
7. MD, KONKAN Railway Corporation Ltd, Rail Bhawan, New Delhi-110001.
8. MD, DFCCIL, 5th Floor, Pragati Maidan Metro Station Building Complex, New Delhi-110001
9. MD, RLDA, Near Safdarjung Railway Station, Moti Bagh, Phase-I, New Delhi- 110021
10. MD, CRIS, Chanakyapur, New Delhi.
11. CMD, RailTel Corporation of India Ltd. Plot No. 143, Institutional Area, Sector-44, Gurgaon – 122003.
12. RCF, Rae Bareilly, Kishan Ganj, Delhi-110007.
13. CME, IROAF, 12th Floor, Core-1, Scope Minar, Distt. Centre, Laxmi Nagar, Delhi-110092
14. CAO (Workshop Projects), Chamber Bhawan, Judge's Court Road, Anta Ghat, Patna-800001, Bihar.

Copy to:

ME, M(Tr.), M(RS), FC, DG(S&T), DG(RS), AM(CE), AM(Works), AM(B), AM(Elec.), AM(RS), PED(Projects), PED/SDE, PED(Bridges), EDCE(G), EDCE(P), EDTK(M), EDTK(MC), EDTK(P), EDCE(B&S), EDF(X)-I, EDF(X)-II, ED(Works), EDW(Plg.), ED/Project(Mon.), ED(L&A), ED/SDE, ED(PSU), ED/RE, ED/Sig(Project), ED/E/Transformation.